



FIRE DEPARTMENT

9 METROTECH CENTER

BROOKLYN, N.Y. 11201-3857

CERTIFICATE OF APPROVAL # 5512 THIS CERTIFICATE IS REVOCABLE, NOT TRANSFERABLE AND EXPIRES ON August 4, 2012

August 5, 2009

Mr. Tom Summers
NYC Regional Manager
Captive-Aire Systems, Inc.
419 Lafayette St 3rd Fl
NY, NY 10003

F.P. Index#: 0901019F

FPIMS#: 29006442

By order of Fire Commissioner Nicholas Scoppetta, and pursuant to FC112 of the New York City Fire Code, the following equipment or material is accepted for use provided the conditions as outlined below are in full compliance.

Applicant: Captive-Aire Systems, Inc. **Manufacturer:** K. TEK Corp.

Product: Grease Filter for Commercial Kitchens

Model Number: Grease -Stop Solo Filter No. 200 single baffle filter
(**Combo Filter Model No. 100 is NOT approved.**)

Pertinent Code Sections: § 112-01(b)(1)(D) and § 901.4.5 of New York City Fire Code

Prescribed Tests: UL1046

Laboratory: Intertek Testing Services NA Inc.
Report: Report No. 3064494-001 Issued: 11/09/2004 Re-Issued 03/06/08 Revised 06/19/08

Description: Grease Stop Solo Filter No. 200 is a single baffle filter used for commercial kitchens manufactured from 430SS.
*. **Combo Filter Model No. 100 is NOT approved.**

Conditions of Approval:

1. The Filter must be installed with the arrows on the baffle pointing up so the grease runs down into the grease through. Once all the model 200 filters are in place, slide the filters together to eliminate any gaps between them.

COA #5512 for Captive-Aire Systems, Inc.'s Grease -Stop Solo Filter No. 200 single baffle filter.

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(Model No. 100 is NOT approved.)

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2. Installation, maintenance, cleaning procedures and use of the above referenced products shall comply with all applicable New York City Fire Code, Construction Codes, rules and regulations.
3. Intertek ETL's listing requirements and limitations shall be complied with and manufacturer's installation, operation, maintenance procedures and safety limitations shall be complied with.
4. Filter(s) shall be inspected, cleaned daily or as necessary to clean away containments collected during its use, and replaced if necessary, once every three months or more frequently, by qualified employees of the restaurant owner or by an approved cleaning agency. A record of such inspection and cleaning shall be kept on the premises for inspection. The entire exhaust system shall be inspected at least once every three months.
5. All installations shall be subject to inspection by representatives of the Bureau of Fire Prevention which may result in added requirements being imposed.
6. Certificate of Approval number shall be plainly and permanently stamped or otherwise affixed upon each product by the manufacturer or by the local sales representative of the manufacturer/applicant.

NOTE: Product must already be identified with Certificate of Approval number before it arrives at New York City job site.

7. The Fire Department Certificate of Approval does not constitute an endorsement or recommendation of your product by the Fire Department, but is a certification that your product, as represented, meets the standards as of the date of issuance.
8. The Fire Department's condition of approval shall be enumerated in the installation manuals and brochures which will be provided to all New York City buyers, users and installers.
9. The Fire Department reserves the right to withdraw this approval at any time in the event there is a reasonable doubt that the product does not operate or perform as required by code, the conditions of this resolution or as represented in your application.
10. The Certificate of Approval is issued upon condition that the equipment's technology does not violate any patent, trade name / secret or other intellectual right.
11. As the manufacturer of this equipment/material, you should be aware that any end user who fails to comply with the condition as outlined in the acceptance will be subject to enforcement action which may include fines and imprisonment.


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Any change in company name or ownership, product name, material of construction, product design, or model number of any product included on this certificate must be immediately reported to this Department in writing.

Very truly yours,



Anthony Hsueh
Senior Project Manager
Technology Management

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